Amendment Tool

v1.6 06 December 2021

For office use

QC: No

Section 1: Project information

Short project title*:	PhEAST
IRAS project ID* (or REC reference if no IRAS project ID is available):	304658
Sponsor amendment reference number*:	SA_08_23
Sponsor amendment date* (enter as DD/MM/YY):	05 October 2023
Briefly summarise in lay language the main changes proposed in this amendment. Explain the purpose of the changes and their significance for the study. If the amendment significantly alters the research design or methodology, or could otherwise affect the scientific value of the study, supporting scientific information should be given (or enclosed separately). Indicate whether or not additional scientific critique has been obtained (note: this field will adapt to the amount of text entered)*:	This amendment consists of change to the protocol's inclusion criteria and exclusion criteria, and the rest of the protocol has been updated to reflect these changes. Additionally, the sponsor contact details and trial manager contact details have also been updated in the protocol.

Project type (select):	**Specific study**			
	Research tissue bank			
	Research database			
Has the study been reviewed by a UKECA-recognised Research Ethics Committee (REC) prior to this amendment?:	**Yes**		No	
What type of UKECA-recognised Research Ethics Committee (REC) review is applicable? (select):	**NHS/HSC REC**			
	Ministry of Defence (MoDREC)			
Is all or part of this amendment being resubmitted to the Research Ethics Committee (REC) as a **modified amendment** (i.e. a substantial amendment previously given an unfavourable opinion)?	Yes		**No**	
Where is the NHS/HSC Research Ethics Committee (REC) that reviewed the study based?:	England	Wales	Scotland	Northern Ireland
	Yes	No	No	No
Was the study a clinical trial of an investigational medicinal product (CTIMP) OR does the amendment make it one?:	Yes		**No**	
Was the study a clinical investigation or other study of a medical device OR does the amendment make it one?:	**Yes**		No	
Does this clinical investigation or other study of a medical device require a Notice of No Objection from MHRA Devices?:	Yes		**No**	
Did the study involve the administration of radioactive substances, therefore requiring ARSAC review, OR does the amendment introduce this?:	Yes		**No**	
Did the study involve the use of research exposures to ionising radiation (not involving the administration of radioactive substances) OR does the amendment introduce this?:	**Yes**		No	
Did the study have Radiation Assurance OR is Radiation Assurance being sought for the first time because of this amendment?:	Yes		**No**	
Did the study involve adults lacking capacity OR does the amendment introduce this?:	**Yes**		No	
Did the study involve access to confidential patient information outside the direct care team without consent OR does the amendment introduce this?:	Yes		**No**	
Did the study involve prisoners or young offenders who are in custody or supervised by the probation service OR does the amendment introduce this?:	Yes		**No**	
Did the study involve children OR does the amendment introduce this?:	Yes		**No**	
Did the study involve NHS/HSC organisations prior to this amendment?:	**Yes**		No	
Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:	Yes		**No**	
	England	Wales	Scotland	Northern Ireland
Lead nation for the study:	**Yes**	No	No	No
Which nations had participating NHS/HSC organisations prior to this amendment?	**Yes**	**Yes**	**Yes**	**Yes**
Which nations will have participating NHS/HSC organisations after this amendment?	**Yes**	**Yes**	**Yes**	**Yes**

Section 2: Summary of change(s)

Please note: Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the Amendment Tool as three separate changes. A list of all possible changes is available on the "Glossary of Amendment Options" tab. To add another change, click the "Add another change" box.

Change 1	
Area of change (select)*:	Study Design
Specific change (select - only available when area of change is selected first)*:	Inclusion/exclusion criteria - Significant change (e.g. to age range) likely to affect safety or scientific value of study
Further information (free text - note that this field will adapt to the amount of text entered):	Change to inclusion criteria: recruitment window post-stroke reduced from 4-31 days 2-31 days. Changes to exclusion criteria: "presence of a pharyngeal pouch" added as an exclusion, and "2 or more NGTs pulled out unless nasal bridle in place" changed to "2 or more NGTs pulled out within the last week unless nasal bridle in place". An additional exclusion criteria "Investigator believes dysphagia will be short-term, e.g. signs of impending recovery in swallowing" has also been added.

Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	

Remove all changes below

Change 2	
Area of change (select)*:	Study Documents
Specific change (select - only available when area of change is selected first)*:	Protocol - Non-substantial changes (e.g. not affecting safety or the scientific value of the trial)
Further information (free text - note that this field will adapt to the amount of text entered):	Change of sponsor contact details and change of project manager name and contact details. Clarifications regarding secondary endpoints in study: "Secondary at days 1-6 PES threshold, tolerability and stimulation currents; number of catheters used" changed to "Secondary at days 1-9 (to account for treatment potentially starting at day 0, and potentially continuing to day 9, considering weekend treatment breaks". The terminology regarding safety reporting has been amended on page 47: the mention of suspected unexpected serious adverse reactions (SUSARs) has been amended to unanticipated serious adverse device effects (USADEs). Changes to wording on page 24 – device disposition log changed to supplies log and eCRF. Wording changed throughout to clarify that primary outcome must be done by a blinded Speech & Language Therapist, but secondary outcomes can be completed by a research nurse / coordinator as appropriate (ideally blinded). The trial flowchart has been updated in the protocol to reflect the change to inclusion/exclusion criteria.Clarification / table added on page 37 regarding timeline / trial days. Clarification on page 38 regarding treatment cycles. Wording change on page 40 for clarification of protocol violations. The word ‘medication’ replaced with the word ‘treatment’ on page 53.

Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	

Add another change

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

- I confirm that the Sponsor takes responsibility for the completed amendment tool
- I confirm that I have been formally authorised by the Sponsor to complete the amendment tool on their behalf

Name [first name and surname]:*	Alison Thorpe

Email address:*	sponsor@nottingham.ac.uk

Lock for submission

Please note: This button will only become available when all mandatory (*) fields have been completed. When the button is available, clicking it will generate a locked PDF copy of the completed amendment tool which must be included in the amendment submission. Please ensure that the amendment tool is completed correctly before locking it for submission.

Lock for submission

After locking the tool, proceed to submit the amendment online. The "Submission Guidance" tab provides further information about the next steps for the amendment.

Section 4: Review bodies for the amendment

Please note: This section is for **information only**. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

	Review bodies																	
	UK wide:						England and Wales:				Scotland:				Northern Ireland:			
	REC	Competent Authority MHRA - Medicines	Competent Authority MHRA - Devices	ARSAC	Radiation Assurance	UKSW Governance	REC (MCA)	CAG	HMPPS	HRA and HCRW Approval	REC (AWIA)	PBPP	SPS (RAEC)	National coordinating function	HSC REC	HSC Data Guardians	Prisons	National coordinating function	Category:
Change 1:	Y					(Y)				(Y)				(Y)				(Y)	A
Change 2:	N					(Y)				(Y)				(Y)				(Y)	A
Overall reviews for the amendment:																			
Full review:	Y					N				N				N				N	
Notification only:	N					Y				Y				Y				Y	
Overall amendment type:	Substantial																		
Overall Category:	A																		