Amendment Tool

v1.6 06 December 2021

For office use

QC: No

Section 1: Project information

Short project title*:	PhEAST
IRAS project ID* (or REC reference if no IRAS project ID is available):	304658
Sponsor amendment reference number*:	SA_10_24
Sponsor amendment date* (enter as DD/MM/YY):	08 May 2024
Briefly summarise in lay language the main changes proposed in this amendment. Explain the purpose of the changes and their significance for the study. If the amendment significantly alters the research design or methodology, or could otherwise affect the scientific value of the study, supporting scientific information should be given (or enclosed separately). Indicate whether or not additional scientific critique has been obtained (note: this field will adapt to the amount of text entered)*:	This amendment includes: 1) The introduction of five patient-facing dysphasia/aphasia-friendly resources. 2) An amendment to the protocol, regarding changes to the inclusion and exclusion criteria, as well as changes to the number of trial catheters permitted per-participant.

Project type (select):	**Specific study**			
	Research tissue bank			
	Research database			
Has the study been reviewed by a UKECA-recognised Research Ethics Committee (REC) prior to this amendment?:	**Yes**		No	
What type of UKECA-recognised Research Ethics Committee (REC) review is applicable? (select):	**NHS/HSC REC**			
	Ministry of Defence (MoDREC)			
Is all or part of this amendment being resubmitted to the Research Ethics Committee (REC) as a **modified amendment** (i.e. a substantial amendment previously given an unfavourable opinion)?	Yes		**No**	
Where is the NHS/HSC Research Ethics Committee (REC) that reviewed the study based?:	England	Wales	Scotland	Northern Ireland
	Yes	No	No	No
Was the study a clinical trial of an investigational medicinal product (CTIMP) OR does the amendment make it one?:	Yes		**No**	
Was the study a clinical investigation or other study of a medical device OR does the amendment make it one?:	**Yes**		No	
Does this clinical investigation or other study of a medical device require a Notice of No Objection from MHRA Devices?:	Yes		**No**	
Did the study involve the administration of radioactive substances, therefore requiring ARSAC review, OR does the amendment introduce this?:	Yes		**No**	
Did the study involve the use of research exposures to ionising radiation (not involving the administration of radioactive substances) OR does the amendment introduce this?:	**Yes**		No	
Did the study have Radiation Assurance OR is Radiation Assurance being sought for the first time because of this amendment?:	Yes		**No**	
Did the study involve adults lacking capacity OR does the amendment introduce this?:	**Yes**		No	
Did the study involve access to confidential patient information outside the direct care team without consent OR does the amendment introduce this?:	Yes		**No**	
Did the study involve prisoners or young offenders who are in custody or supervised by the probation service OR does the amendment introduce this?:	Yes		**No**	
Did the study involve children OR does the amendment introduce this?:	Yes		**No**	
Did the study involve NHS/HSC organisations prior to this amendment?:	**Yes**		No	
Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:	Yes		**No**	
	England	Wales	Scotland	Northern Ireland
Lead nation for the study:	**Yes**	No	No	No
Which nations had participating NHS/HSC organisations prior to this amendment?	**Yes**	**Yes**	**Yes**	**Yes**
Which nations will have participating NHS/HSC organisations after this amendment?	**Yes**	**Yes**	**Yes**	**Yes**

Section 2: Summary of change(s)

Please note: Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the Amendment Tool as three separate changes. A list of all possible changes is available on the "Glossary of Amendment Options" tab. To add another change, click the "Add another change" box.

Change 1	
Area of change (select)*:	Study Documents
Specific change (select - only available when area of change is selected first)*:	Other significant change to study documents (e.g. information sheets, consent forms, questionnaires, letters) that can be implemented within existing resource in place at participating organisations - Please specify in the free text below
Further information In particular, please describe why this change can be implemented within the existing resource in place at the participating organisations (free text - note that this field will adapt to the amount of text entered)*	Five new dysphasia/aphasia-friendly documents have been created to help communicate with participants who were otherwise unable to communicate: 1) Aphasia-friendly participant information on PES v1.0 20240416 2) Aphasia Friendly Visual Guide of PES - Part 1 v1.0 20240416 3) Aphasia Friendly Visual Guide of PES - Part 2 v1.0 20240416 4) Communication Aid For Use During PES Treatment v1.0 20240416 5) Treatment Tick-List for Participant v1.0 20240416 These are solely communication aids to assist with delivering the PhEAST treatment to dysphasic or aphasic participants.

Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	

Remove all changes below

Change 2	
Area of change (select)*:	Study Documents
Specific change (select - only available when area of change is selected first)*:	Protocol - Substantial changes (e.g. affecting safety or the scientific value of the trial)
Further information (free text - note that this field will adapt to the amount of text entered):	1. Addition of inclusion criteria “Baseline DSRS supervision score of either 3 (requiring therapeutic feeding by SALT team; on oral trials) or 4 (No oral feeding)”. 2. Addition of exclusion criteria “Participant is risk-feeding at time of screening”. 3. Change of exclusion criteria “Ongoing treatment of dysphagia with other forms of electrical / magnetic stimulation e.g. NMES, TCDS, rTMS” amended to “Ongoing treatment of dysphagia with other forms of electrical / magnetic stimulation (e.g. NMES, TCDS, rTMS, Ampcare), or devices (e.g. EMST, IQORO, IOPI, biofeedback that uses EMG electrodes, or chin tuck against resistance using a ball/chin depressor). 4. All references to 1.1 catheters being used per-patient, and catheters being replaced once if pulled out/removed prior to three treatments have been removed. This now allows 2> catheters to be used, in order for participants to receive all six treatments (to maximise potential benefit).

Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	

Add another change

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

- I confirm that the Sponsor takes responsibility for the completed amendment tool
- I confirm that I have been formally authorised by the Sponsor to complete the amendment tool on their behalf

Name [first name and surname]:*	Alison Thorpe
Email address:*	sponsor@nottingham.ac.uk

Lock for submission

Please note: This button will only become available when all mandatory (*) fields have been completed. When the button is available, clicking it will generate a locked PDF copy of the completed amendment tool which must be included in the amendment submission. Please ensure that the amendment tool is completed correctly before locking it for submission.

Lock for submission

After locking the tool, proceed to submit the amendment online. The "Submission Guidance" tab provides further information about the next steps for the amendment.

Section 4: Review bodies for the amendment

Please note: This section is for **information only**. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

	Review bodies																	
	UK wide:						England and Wales:				Scotland:				Northern Ireland:				
	REC	Competent Authority MHRA - Medicines	Competent Authority MHRA - Devices	ARSAC	Radiation Assurance	UKSW Governance	REC (MCA)	CAG	HMPPS	HRA and HCRW Approval	REC (AWIA)	PBPP	SPS (RAEC)	National coordinating function	HSC REC	HSC Data Guardians	Prisons	National coordinating function	Category:
Change 1:	Y					Y				Y				Y				Y	C
Change 2:	Y					Y				(Y)				(Y)				(Y)	A
Overall reviews for the amendment:																			
Full review:	Y					Y				Y				Y				Y	
Notification only:	N					N				N				N				N	
Overall amendment type:	Substantial																		
Overall Category:	A																		