PhEAST *–* Investigator Site File Index

Cover Sheet

Trial Office contact sheet V3.0 20240404

Investigator Site File Index

**Section A – Pre-trial Opening**

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| A.1 Trial Development Documentation | File note from coordinating centre |  |
| A.2 Study protocol and associated documents – current versions | Current protocol | PhEAST Protocol Final V10.0 20240508 |
| Superseded versions of protocol | PhEAST Protocol Final V9.0 20231121  PhEAST Protocol Final V8.0 20231015  PhEAST Protocol Final V7.0 20230328  PhEAST Protocol Final V6.0 20221219  PhEAST Protocol Final V5.0 20220809  PhEAST Protocol Final V4.0 20220624\_clean  PhEAST protocol final v3.0 – 20220225.docx |
| All current patient facing documentation (England, Wales & NI) | Aphasia Friendly PIS and ICF V2.0 20220809  Consultee Declaration Form v4.0 20230328  Consultee PIS Final V6.0 20230328  Consultee Tel Declaration Form Final V3.0 20220513 clean.docx  Informant Consent Form V3.0 20221219  Informant Information Sheet v1.0 20220624 clean.docx  Informant Tel Consent Form England and Wales V3.0 20221219  Participant Re-consent Final V3.0 20220513 clean.docx  Participant consent Final V3.0 20220513 clean.docx  Long PIS Final V4.0 20220809 |
| Superseded patient facing documentation (England, Wales & NI) | Aphasia Friendly PIS and ICF V1.0 20220629.docx  Consultee PIS Final V3.0 20220513 clean.docx  Consultee Declaration Form v3.0 20220513  Consultee PIS Final V5.0 20221219  Informant Tel Consent Form England and Wales V1.0 20220624.docx  PhEAST Consultee PIS Final v2.0\_ 20211202  PhEAST long PIS Final v2.0\_20211202  PhEAST Short Pictorial Final v2.0\_ 20211202  PhEAST Consultee Declaration Form Final v2.0\_ 20211202  PhEAST Consultee Tel Declaration Form Final v2.0\_20211202  PhEAST Participant consent Final v2.0\_ 20211202  PhEAST Participant Re-consent Final v2.0\_ 20211202  Consultee PIS Final V4.0 20220809  Informant Consent Form V1.0 20220624.docx  Informant Consent Form V2.0 20220727  Informant Tel Consent Form England and Wales V2.0 20220727  Consultee PIS Final V2.0 20231202 |
| Current GP letter (England, Wales & NI) | GP letter Final V3.0 20220513 clean.docx |
| Superseded GP letters (England, Wales & NI) | PhEAST GP letter Final v2.0\_ 20211202 |
|  | All current patient facing documentation (Scotland) | Participant Information Sheet V6.0 20230307  Participant Consent Form V4.0 20230307  Participant Recovered Capacity PIS V4.0 20230307  Aphasia Friendly PIS & ICF V2.0 20221027  Scotland Informant (WA.WG.NR) PIS V1.0 20220624  Scotland Informant (WA.WG.NR) Tel Consent Form V1.0 20220624  Scotland Informant (WA.WG.NR) Consent Form V2.0 20230307  Legal Rep (WA.WG.NR) Consent Form V5.0 20230307  Legal Rep (WA.WG.NR) Information Sheet V6.0 20230307  Legal Rep (WA.WG.NR) Tel Consent Form V5.0 20230307  Recovered Capacity Re-Consent V5.0 20230307 |
|  | Superseded patient facing documentation (Scotland) | Participant Information Sheet V5.0 20221027  Participant Recovered Capacity PIS V3.0 20221027  Participant Information Sheet V4.0 20220513  Participant Consent Form V3.0 20220513  Legal Rep (WA.WG.NR) Information Sheet V5.0 20221027  Legal Rep (WA.WG.NR) Consent Form V4.0 20220513  Legal Rep (WA.WG.NR) Tel Consent Form V4.0 20220513  Scotland Informant (WA.WG.NR) Consent Form V1.0 20220624  Scotland Informant (WA.WG.NR) Tel Consent Form V1.0 20220624  Recovered Capacity Re-Consent V4.0 20220513  Participant Recovered Capacity PIS V2.0 20220513  Aphasia Friendly PIS & ICF V1.0 20220629  Legal Rep (WA.WG.NR) Information Sheet V4.0 20220513 |
|  | Current GP Letter (Scotland) | V3.0 20221027 |
|  | Superseded GP Letter(s) (Scotland) | V2.0 20220104 |
| **A.3 Approvals and Agreements** | Initial REC Approval Letter |  |
| England: dated 06/12/2021 |  |
| Scotland: dated 09/02/2022 |  |
| Initial HRA Approval Letter dated 07/01/2022 |  |
| Sponsor Regulatory Green Light |  |
| R&D Approval |  |
| Signed non-commercial research agreement |  |
| Signed variation to NCA (if applicable) |  |
| Organisation Information Document (OID) (if applicable) | PhEAST OID NonCommercial\_v1-6 authorised 20210930 |
| Current SoECAT (authorised 13/06/2023) |  |
| Superseded SoECAT (authorised 11/03/2020) |  |
| Sponsorship statement dated 04/10/2021 |  |
| Current Letter of Insurance |  |
|  | Superseded Letters of Insurance |  |
| **A.4 Staff Participation** | PhEAST File Note A4 Site File - Delegation logs |  |
| Signed and dated CVs and GCP (in date) |  |
| SIV attendance log & Phagenesis Face to face training log (RF1 TA008) |  |
| SOP TA008 SIV |  |
| Attendance at Investigator Training File Note |  |
| Current training slides (SIV) (or file note to state stored on PhEAST website) | SIV V8.0 20231122 |
| Superseded (or file note to state stored on PhEAST website) | SIV V9.0 20240606  SIV V8.0 20231122  SIV V7.0 20230503  SIV V6.0 20230127  SIV V5.0 20221412  SIV V4.0 20221027  SIV V3.0 20220811  SIV V2.0 20220328  SIV V2.1 20220412  SIV V2.3 20220527  SIV V2.2 20220504 |
| Current PhEAST FAQs (or file note to state stored on PhEAST website) | V7.0 20240820 |
| **A.5 Medical Testing and Pharmacy** |  |  |
| Phagenesis training slides | EPSB Training slides practical |
| Trial Manual (or file note to state kept on PhEAST website) | V5.0 20230504 |
| Superseded Trial Manual (or file note to state kept on PhEAST website) | V4.0 20230127  V3.0 20221027  V2.0 20220811  V1.0 20220504 |
| Supplies log V1.0 20220308 |  |
| **A.6 Randomisation and Blinding** | File note from coordinating centre  Superseded file note from coordinating centre | V2.0 20220426  V1.0 20220218 |
| **A.7 Database Build** | File note from coordinating centre |  |

**Section B: Ongoing Trial**

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| B.1 Study Protocol Amendments and Approvals | RF1 TA013 Amendment Log |  |
| All study amendments and approvals from TA013 amendment log |  |
| B.2 Staff Participation | Updates where applicable |  |
| **B.3 Informed Consent** | Signed Informed Consent Forms (master copies) (or file note to state where they are kept) |  |
| Signed GP letters (master copies) (or file note to state where they are kept) |  |
| Participant screening and enrolment log (RF1 TA011) |  |
| Patient notes labels |  |
| **B.4 Medical Testing and Pharmacy** | Updates where applicable |  |
| **B.5 CRFs and Source Documents** | Paper CRFs (if site is using – found on trial website, superseded and current) (or file note to state where they are kept) |  |
| Any relevant participant results (radiology scans, blood test results etc) (or file note to state where they are kept) |  |
| Visual Resources:   * Cognition Subscales Visual Resource V1.0 20230420 * EAT 10 Visual Resource V1.0 20230420 * EQ5D5L Visual Resource V1.0 20230420 |  |
| **B.6 Serious Adverse Events** | SAE report forms signed and dated by PI (where applicable) (or file note to state where they are kept)  Safety reporting notifications (where applicable) (or file note to state where they are kept)  Protocol violation report forms (where applicable) (or file note to state where they are kept) |  |
| **B.7 Biological Materials** | File note from coordinating centre |  |
| **B.8 Audit and Reporting** | Site visit log |  |
| Monitoring reports |  |
| Completed monitoring visit action lists |  |
| **B.9 Miscellaneous** | Correspondence |  |
| File note template |  |
| Completed file notes |  |
| WPDs:  (i) 001 Screening and Enrolment Log  (ii) 002 Consent  (iii) 003 Manual Randomisation  (iv) 004 Decontamination of Equipment  (v) 005 Trial Monitoring  (vi) 008 Blinding  (vii) 009 Document Preparation  (viii) 010 Secure Vault Uploads  (ix) 011 Cognition Sub-study  (x) 012 Randomisation  (xi) WPD Log |  |
| Newsletters |  |