

PhEAST – Frequently Asked Questions

Study Set Up:

Q: Can the PI for PhEAST be a non-medic?

A. Yes, for example a speech and language therapist, specialist nurse, as they can explain the benefit/risks of the intervention. It is advised to have a medic on the delegation log who can assist with SAEs

Q: Are any additional blood samples for laboratory analysis required in PhEAST?

A: No additional blood tests are required. The results of blood tests performed as per standard of care relating to chest infections such as pneumonia will be collected.

Q: Do 'treaters' need to be GCP trained and have a Research CV? A: Yes, staff members who are treating participants need to have their GCP, research CV and attend the SIV and face to face training.

Q: Do speech and language therapists who are only completing blinded bedside assessments need to be GCP trained?

A: No, as they are only completing their normal clinical role our sponsor has confirmed they do not need GCP training. However, they should attend the SIV and be signed on to the delegation log.

Q: Who is liable for the Phagenesis base station?

A: For the duration of the trial, sites will have their own base station under a loan agreement from the manufacturer, Phagenesis. In terms of the Loan agreement, the site is liable for any loss or damage arising out of or in connection with any negligence, misuse or mishandling of the device(s).

Q: What constitutes a recruit?

A: Once you have randomised a participant, this will count as a recruit. The catheter should be inserted, and treatment should begin as soon as possible after randomisation, if randomised to PES.

Q: What is the role of the SLT in this trial?

A: This varies by site. Eg. In Derby SLT are heavily involved in terms of helping with consenting and doing the treatment. In Nottingham the PI is SLT and research nurses do the treatment and assessment so depends on your research team.



Eligibility:

Q: Can we recruit participants who have COVID-19?

A: Yes, participants withCOVID-19 can be recruited as long as they do not require more than 35% of oxygen. Researchers must follow their hospital policies and procedures with regards to PPE, and ensure the base station is adequately cleaned between participants.

Q: If a participant has a further stroke, can they remain in the trial? A: Yes, please report this via the E-CRF.

Q:If a patient has already participated in the PhEAST trial, could they participate again if they had a recurrent stroke?

A:No - participants can only be enrolled into the PhEAST trial once, unless they withdraw consent and wish to participate again within the time frame (same stroke).

Q: When scoring the FOIS, do you use what the patient is recommended, or what they are actually managing?

A: Please use what they are actually managing, as long as what they are managing is down to the patient, and not, for example, staff shortages.

Q: What constitutes a FOIS score of 2?

A: We are scoring a FOIS score of 2 if the participant is on no more than 15 teaspoons a day. Please refer to the trial manual for further advice on how to score the FOIS.

Consent:

Q: Who can take consent?

A: Consent can be taken by NIHR CRN nurses/co-ordinators to recruit, all must be GCP-trained and on the delegation log. Written informed consent will be sought but a documented, witnessed mark or oral consent due to physical inability to sign is permitted.

Q: Can we use next of kin consent?

A: If a patient does not have capacity, you can attempt the consent of a consultee. Please use the correct paperwork for this. If the participant then regains capacity, you must attempt re-consent of the participant.

Treatment:

Q: Is the device for implementing the Pharyngeal Electrical Stimulation CE marked?



A. Yes. The device is being used for the purpose it was designed for, which allows for 3-6 days of stimulation.

Q: If the Phagenyx nasogastric catheter is pulled out, can it be replaced? A: Can be replaced once with a new catheter. There is a limited supply of additional catheters therefore it is important to ensure the catheter stays in situ. Treatment will be stopped early if the participant is ready for discharge.

Q: Can the trial catheters be used as standard NGTs? A: Yes

Q: Can you fit a bridle to the trial catheters? A: Yes

Q: Do staff that insert catheters have to be GCP trained? A: No, this can be anyone who is competent in inserting NGTs. Training is offered by the Phagenesis team for staff who insert catheters, although this is not mandatory. It is useful for a member of staff who has attended the Phagenesis training to be around at this time.

Q: Can the PI be a treater? A: Yes

Q: Is the trial catheter MRI compatible?

A: No. If a potential participant needs MRI to confirm a stroke, please wait until after they have had this done to recruit them into the PhEAST trial. If a participant needs an MRI scan whilst undergoing the treatment, the catheter will need removing and will not be replaced.

Q:Who can deliver the PES treatment?

A:Anyone who has had the Phagenesis face to face training. This could be an SLT, research nurse or research coordinator.

Q:Can we treat two participants at the same time?

A:Yes, the catheters have special codes which when linked to the base station recognise which participant you are treating. Please follow your local policies and procedures with regards to cleaning the base station between participants.

Q:How soon after consent should we randomise, and how soon after randomisation should we treat (if randomised to PES)?

A:Please randomise and treat (if randomised to PES) as soon as possible after the consent process. If a long gap if left between, then participants may return to oral food and drink, or deteriorate, and treatment will not happen.



Q: How do I know when the participant has reached their tolerability level before treatment?

A: We are looking for a body sign or twitch at which point the current is uncomfortable but not painful rather than a verbal response. It is important that the maximum current possible is supplied to the patient for maximum benefit. What we do know from previous trials if we undertreat then this method does not appear to work. So we suggest aim for as high current as possible and try not to ask 'how is it' or 'does it hurt?' and look for non-verbal responses instead.

Q: How many treatments are given in total?

A: 6 treatments are given in total, one a day, for six days. Please refer to the treatment schedule in the trial protocol or trial manual for more advice on treatment breaks.

Q: Can you provide advice on how to keep the trial catheters in? A: Please consider using a bridle or mitts (with correct DOLs in place) if you think a patient may pull them out.

Training:

Q: Will training be given for the Pharyngeal Electrical Stimulation device? A. The company supplying the device (Phagenesis Ltd) will provide inperson training to each individual site at set-up. In addition, all trial detail can be found within the trial manual for reference. A member of the central co-ordinating centre at STU Nottingham will be dedicated to this trial and will be able to answer any queries.

Q: Will we have an SIV?

A: Yes, all sites have a virtual SIV, which takes approximately 1.5 hours. This is in addition to the face to face training you will receive from Phagenesis.

Q: How long does the Phagenesis device training take? A: This takes approx. 1.5 – 2 hours

Q: Can we get additional staff trained up whilst the trial is ongoing? A: Please submit any additional training needs to the clinical trial manager

Database:

Q: How do we print off SAE forms, so that the PI can countersign these? A: There is an option on RedCap to download a PDF of the SAE form. This needs to be printed out each time you record an SAE and must always be



countersigned by the trial PI. You can then save the paper copy in the site file.

Q:Where can I find the trial documents? A:All trial documents for your site file can be found and downloaded at <u>https://stroke.nottingham.ac.uk/pheast/docs/</u>

Q:How do I get a new member of staff access to the online delegation log?

A:Please get the new member of staff to complete the trial training (review of the SIV slides / recording of the SIV). If they have any queries they can email the trial team. Once they have signed the SIV log, they need to send this to the trial team who can then invite them to the database where the online delegation log is stored.

Q: Do the cognition CRFs need to be filled in prior to randomisation? A: Ideally yes, so that all CRFs are complete. However, if you are tight on time you can complete them after randomisation, but please do as soon as possible afterwards.

Follow Ups:

Q:Who can complete the day 14 follow ups?

A:A blinded SLT must complete a bedside assessment, the SLT can they go on to do the rest of the PhEAST follow up, or a blinded researcher can take over. Please refer to WPD 008 Blinding for a more detailed overview of blinding in the PhEAST trial.

Q: What is an informant and what forms do they complete? A: Due to the severity of the strokes PhEAST participants are having, we are asking for an informant for every participant, and they will fill in the 'IQCODE' form on redcap (you can print this out). Please ensure consent is in place before doing this, and upload the informant consent form on to the secure vault as you do with the standard consent forms.

Best Practice Tips:

- When consenting a participant, please ensure you inform them that if they are randomised to receive PES, that they will need their standard NG tube replacing with a Phagenyx catheter, and that this will need replacing again with a standard NG tube at day 13 (if they are still requiring feeding).
- If unsure of the FOIS score when working out eligibility, please consult the trial manual which gives detailed advice on how to score minimum amount and consistent amount trials.



- Attempt recruitment into PhEAST as early as possible, so that participants are not discharged or moved to another hospital before the 14 day follow up.
- When you are screening and have someone you think is eligible, work backwards from day 14 to ensure you have all the right staff in place to complete the treatment and follow ups
- Consider training ward nurses to help with completing the treatment as well as passing the trial catheters, this may encourage them to be more responsible for monitoring tubes etc
- Think about putting a poster up in staff rooms (not ward areas) to encourage staff to get involved in the trial
- An informant doesn't have to be the same person who has completed the consultee declaration, it could be a close friend, relative, spouse, partner etc